

YASHWANT GUPTA & ASSOCIATES

Company Secretaries



20/05/2022

To,
The Board of Directors
Advance Steel Tubes Limited
45/3 Industrial Area Site IV
Sahibabad –Ghaziabad -201020

To,
The Calcutta Stock Exchange Limited
7, Lyons Range, Kolkata- 700 001,

Subject: Annual Secretarial Compliance Report for the Financial year 2021-2022

Dear Sir,

We have been engaged by Advance Steel Tubes Limited (hereinafter referred to as the 'Company') bearing CIN: L24231UP1978PLC004583 whose equity shares are listed on The Calcutta Stock Exchange Limited to conduct an audit in terms of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended read with SEBI's Circular No. CIR/CFD/CMD1/27/2019 dated 08th February, 2019 and to issue the Annual Secretarial Compliance Report thereon. It is the responsibility of the management of the Company to maintain records, devise proper systems to ensure compliance with the provisions of all the applicable SEBI Regulations and circulars/ guidelines issued there under from time to time and to ensure that the systems are adequate and are operating effectively.

Our responsibility is to verify compliances by the Company with provisions of all applicable SEBI Regulations and circulars/ guidelines issued there under from time to time and issue a report thereon.

Our audit was conducted in accordance with Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India and in a manner which involved such examinations and verifications as considered necessary and adequate for the said purpose. Annual Secretarial Compliance Report is enclosed.

Place: Delhi

Date: 20/05/2022



Yashwant Gupta & Associates

(Yashwant Kumar Gupta)

Practicing Company Secretary

ACS No. 15154/ CP No. 15119

UDIN: A015154D000356825

44-A/E- Block Extension, Shyam Vihar Phase-1 New Delhi-110043

E-Mail: csguptayash@gmail.com, Phone:9582088097

“Annexure A”
Secretarial compliance report of Advance Steel Tubes Limited
for the year ended 31.03.2022

I, Yashwant Kumar Gupta, Practicing Company Secretary have examined:

(a) all the documents and records made available to us and explanation provided by Advance Steel Tubes Limited (“the listed entity”),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31/03/2022 (“Review Period”) in respect of compliance with the provisions of :

(a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

(g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued thereunder;

and based on the above examination, I, Yashwant Kumar Gupta, Practicing Company Secretary hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations\ Remarks of the Practicing Company Secretary

(b) The Listed entity has maintained proper records under the provisions of the above regulations and circulars/guidelines issued thereunder insofar as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the standard operating procedures

issued by the SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars Guidelines issued thereunder:

Sr. No.	Action taken by	Details of Violation	Details of action taken e.g. Fines, warning letter, debarment etc.	Observations/remarks of the Practicing Company Secretary, If any

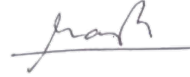
(d) The Listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations/remarks of the Practicing Company Secretary, If any	Observations made in the Secretarial Compliance Report for the year ended	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the Listed Entity
SEBI				

Yashwant Gupta & Associates

Place: Delhi

Date: 20.5.2022



**(Yashwant Kumar Gupta) Practicing Company
Secretary ACS No. 15154/CP No. 15119
UDIN: A015154D000356825**

